

# First-order phase transitions with the Katanin scheme

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für Festkörperforschung

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# Message

The fermionic fRG ( $f^2$ RG) can cope with first-order phase transitions.

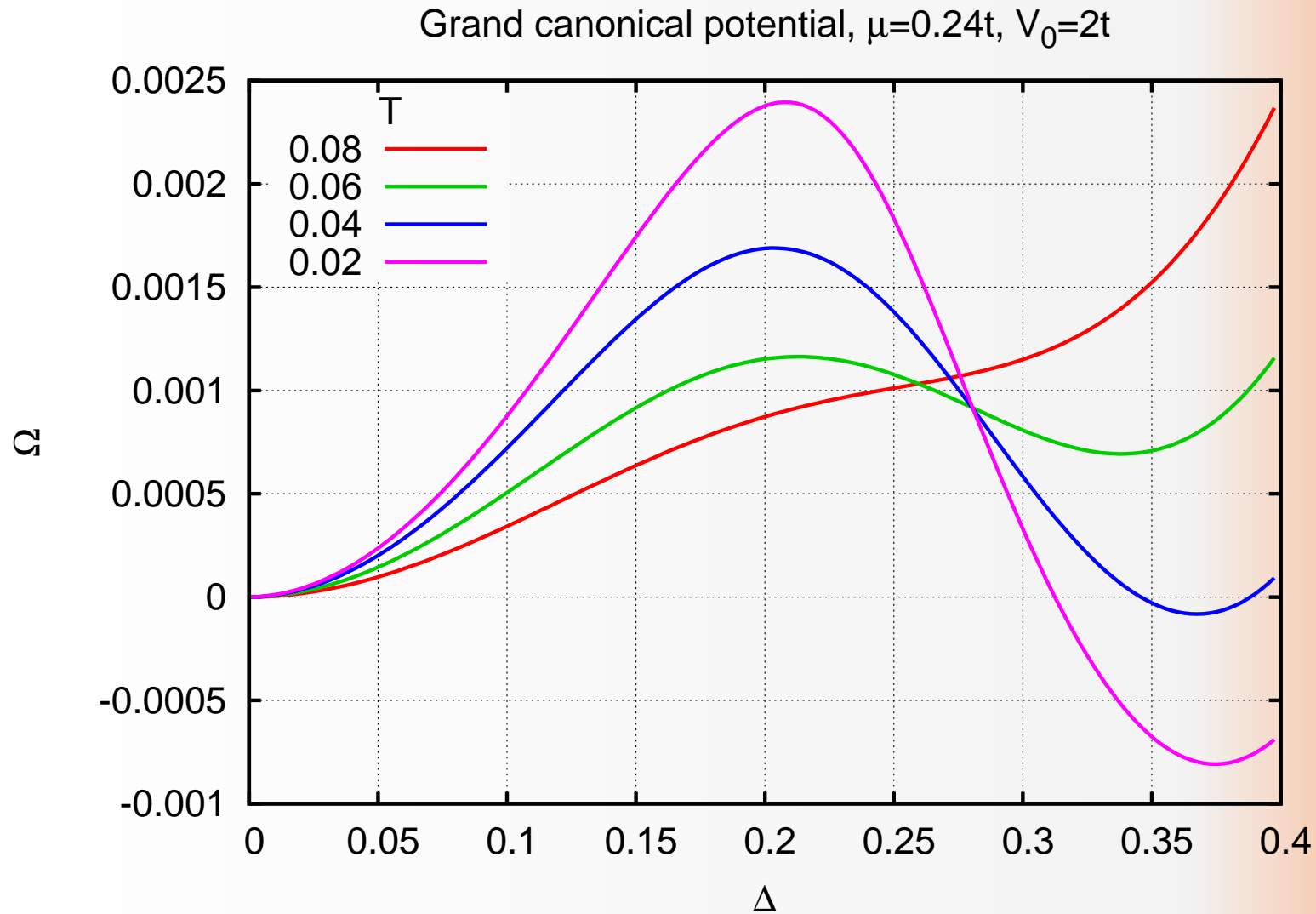
(and it's not so hard)

More subtly put:

The  $f^2$ RG can scan a system's order parameter space for minima of the thermodynamic potential.



# Thermodynamic potential and phases



# Hamiltonian

- At half-filling: a repulsive interaction restricted to momentum-transfers of  $\mathbf{Q} := (\pi, \pi, \dots)$  generates a  $d$ -dimensional charge-density wave.

$$\begin{aligned} H = & \sum_{\mathbf{k}} (\varepsilon_{\mathbf{k}} - \mu) c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}} \\ & - \frac{V_0}{N} \sum_{\mathbf{k}, \mathbf{k}'} c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}+\mathbf{Q}} c_{\mathbf{k}'}^{\dagger} c_{\mathbf{k}'+\mathbf{Q}} \\ & + \sum_{\mathbf{k}} (\Delta_c - \Sigma_i) c_{\mathbf{k}+\mathbf{Q}}^{\dagger} c_{\mathbf{k}} \end{aligned}$$

- For certain parameters, the lattice translation symmetry (which is discrete) will be broken.



# Mean-field-exact toy model

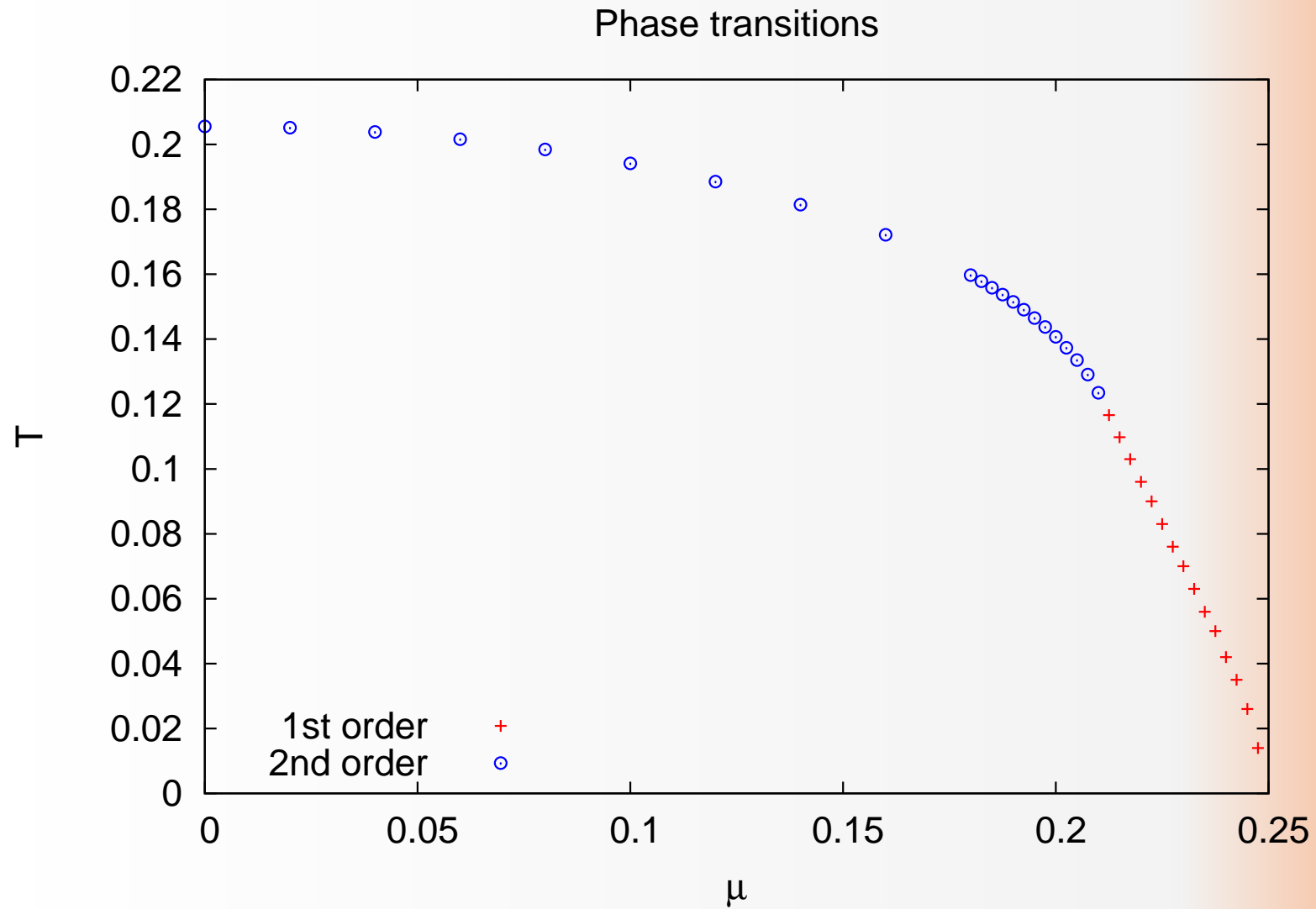
- Resumming perturbation theory  $\Rightarrow$  **gap** equation.

$$\Sigma = \text{wavy line} + \text{circle with wavy line} + \text{circle with two wavy lines} + \dots = \text{circle with wavy line} + \text{circle with wavy line and shaded circle} = \text{circle with wavy line and shaded circle}$$

- RPA resummation for **effective interaction**  
 $\Rightarrow$  Bethe-Salpeter equation.

$$\text{shaded rectangle} = \text{wavy line} + \text{circle with two wavy lines} + \dots = \text{wavy line} + \text{circle with wavy line and shaded rectangle}$$

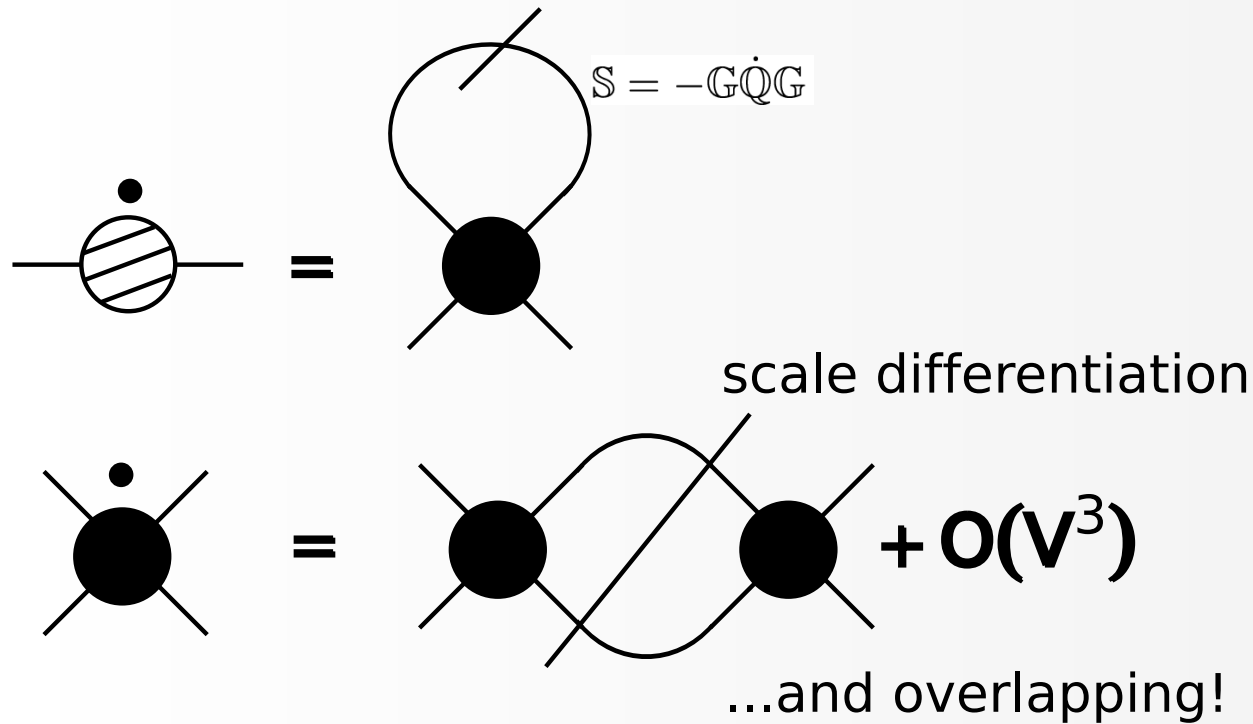
# Phase diagram



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# One-particle irreducible (1PI) scheme

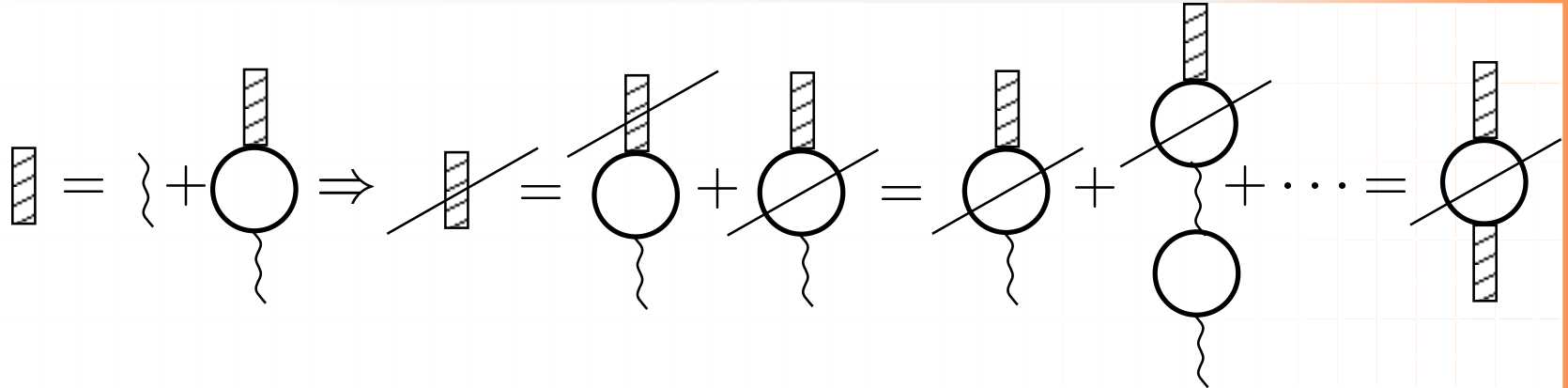
Introduce cutoff  $\Lambda$ , cutoff function  $\chi(\Lambda): \mathbb{G}_0^{-1} \rightarrow \mathbb{G}_0^{-1} / \chi =: \mathbb{Q}$



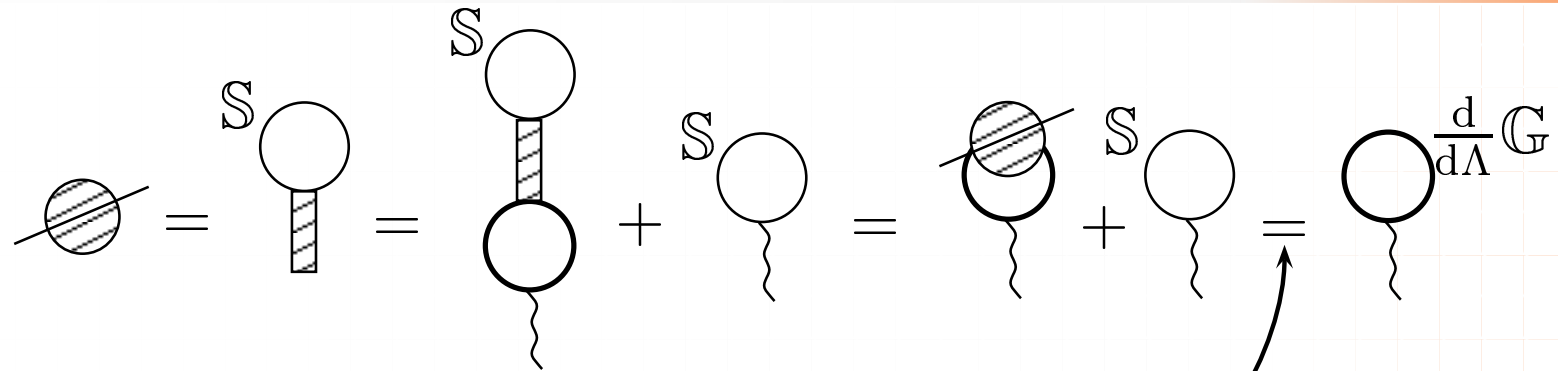
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# f<sup>2</sup>RG equations

- **Vertex** flow equation from Bethe-Salpeter equation:



- **Gap** equation from **gap** flow equation:  $S := -\dot{G}QG$ :

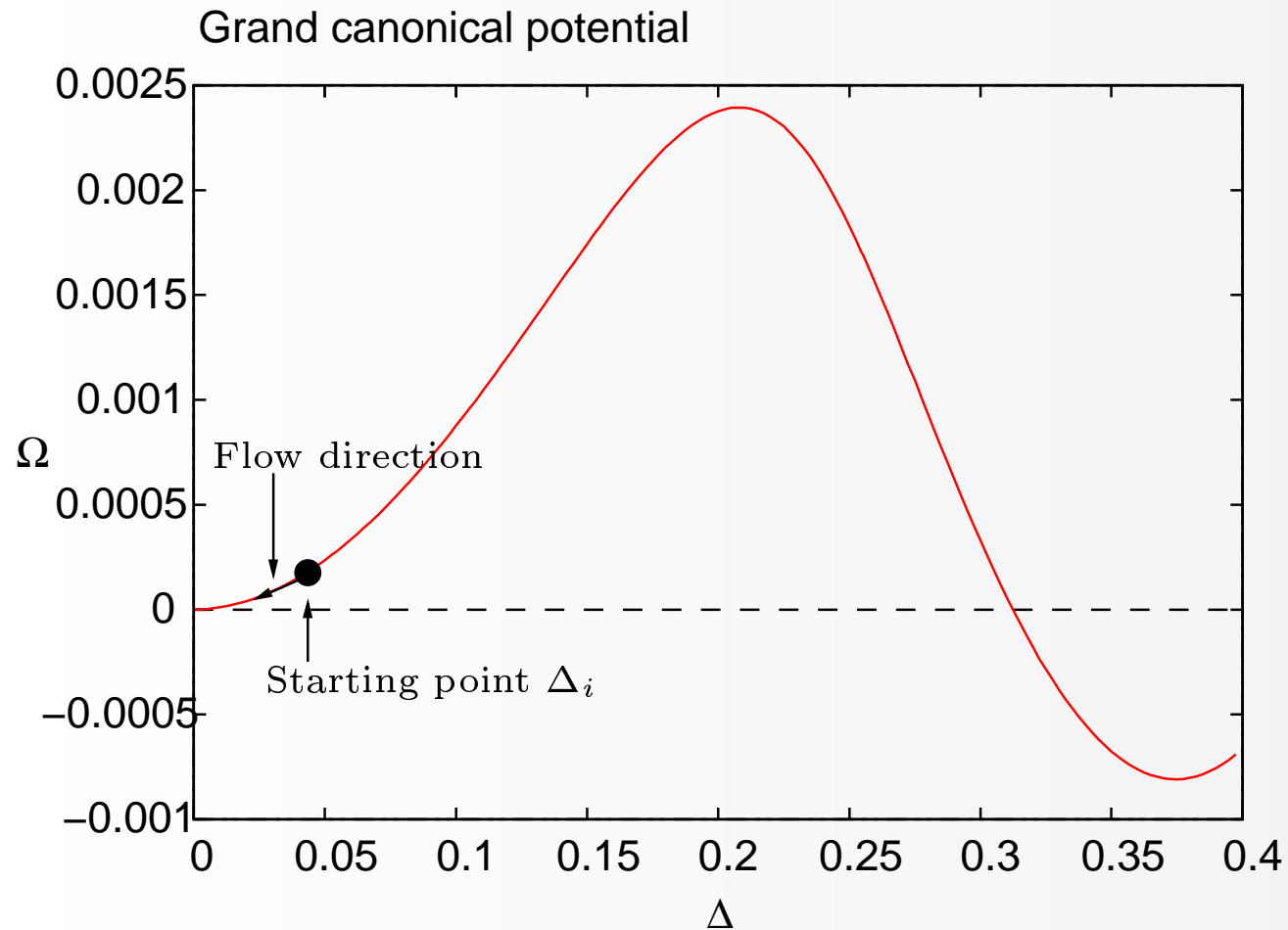


$$-G \frac{d}{d\Lambda} QG + G \frac{d}{d\Lambda} \Sigma G = -G \frac{d}{d\Lambda} G^{-1} G = \frac{d}{d\Lambda} G$$





# Challenge



Challenge: Starting at large  $\Delta$  *without* appreciably changing  $\Omega(\Delta)$ .



# Counter terms and interaction flow

- Back to the Hamiltonian.

$$H = \sum_{\mathbf{k}} (\varepsilon_{\mathbf{k}} - \mu) c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}} - \frac{V_0}{N} \sum_{\mathbf{k}, \mathbf{k}'} c_{\mathbf{k}}^{\dagger} c_{\mathbf{k}+\mathbf{Q}} c_{\mathbf{k}'}^{\dagger} c_{\mathbf{k}'+\mathbf{Q}}$$

$$+ \sum_{\mathbf{k}} (\Delta_c - \Sigma_i) c_{\mathbf{k}+\mathbf{Q}}^{\dagger} c_{\mathbf{k}}$$

- To naked propagator
- To initial self-energy

$$\mathbb{G}^{-1} = \frac{1}{\chi} (\mathbb{Q} + \Delta_c \sigma_x - \chi \Sigma \sigma_x) \Rightarrow$$

$$\mathbb{G}_{12} \propto \chi \cdot \underbrace{(\chi \Sigma - \Delta_c)}_{=:-\Delta_f}$$

- $\chi = \Theta(\varepsilon_k - \mu - \Lambda)$ :  $\Sigma$  and  $\Delta_c$  cancel at all scales.
- $\chi = \sqrt{\Lambda}$ :  $\Sigma_i$  and  $\Delta_c$  cancel *only* at the end of the flow. The initial self-energy can be chosen arbitrarily without changing the physics!

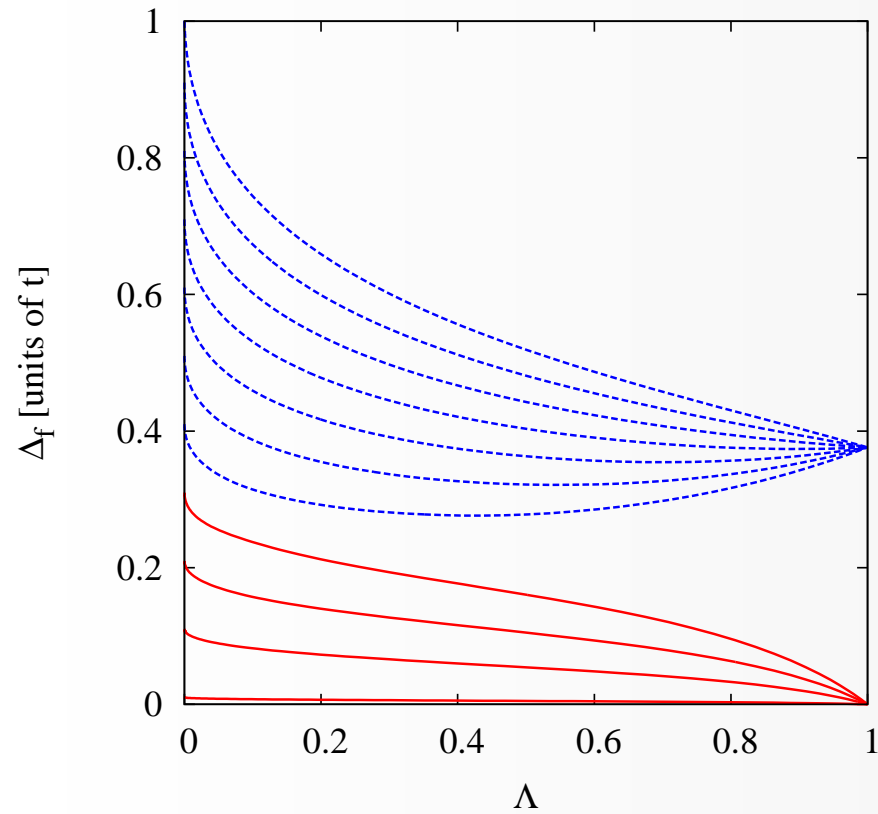
# Grand canonical potential flow

$$\begin{aligned}
 \dot{\Omega} &= \frac{T}{2} \text{Tr} \left( (\mathbf{G} - \mathbf{Q}^{-1}) \dot{\mathbf{Q}} \right) \\
 &= -\frac{T}{2} \text{Tr} \left( \left( \mathbf{Q}^{-1} \sum_n (\Sigma \mathbf{Q}^{-1})^n - \mathbf{Q}^{-1} \right) \mathbf{Q} \frac{\dot{\chi}}{\chi} \right) \\
 &= -\frac{T}{2} \text{Tr} \left( \sum_{n=1} (\Sigma \mathbf{Q}^{-1})^n \frac{\dot{\chi}}{\chi} \right) \\
 &= -\frac{T}{2} \text{Tr} \left( \Sigma \sum_n (\mathbf{Q}^{-1} \Sigma)^n \mathbf{Q}^{-1} \frac{\dot{\chi}}{\chi} \right) \\
 &= -\frac{T}{2} \text{Tr} \left( \Sigma \mathbf{G} \frac{\dot{\chi}}{\chi} \right)
 \end{aligned}$$

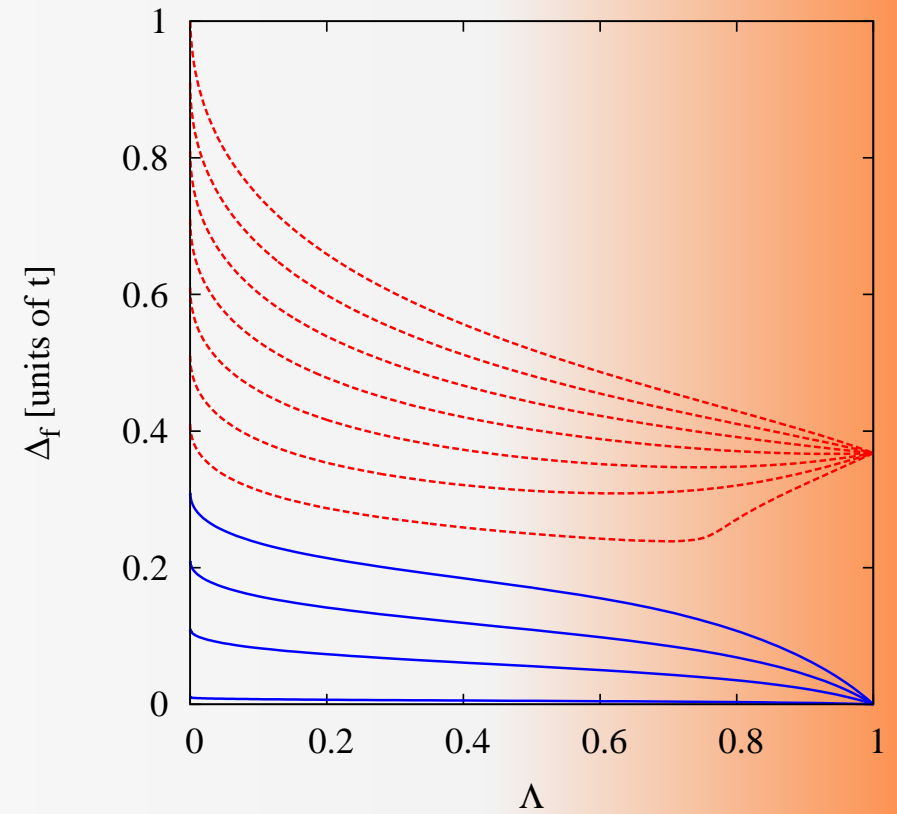


# Numerical results: $\Sigma$

$\Sigma$  for  $T < T_t$ :



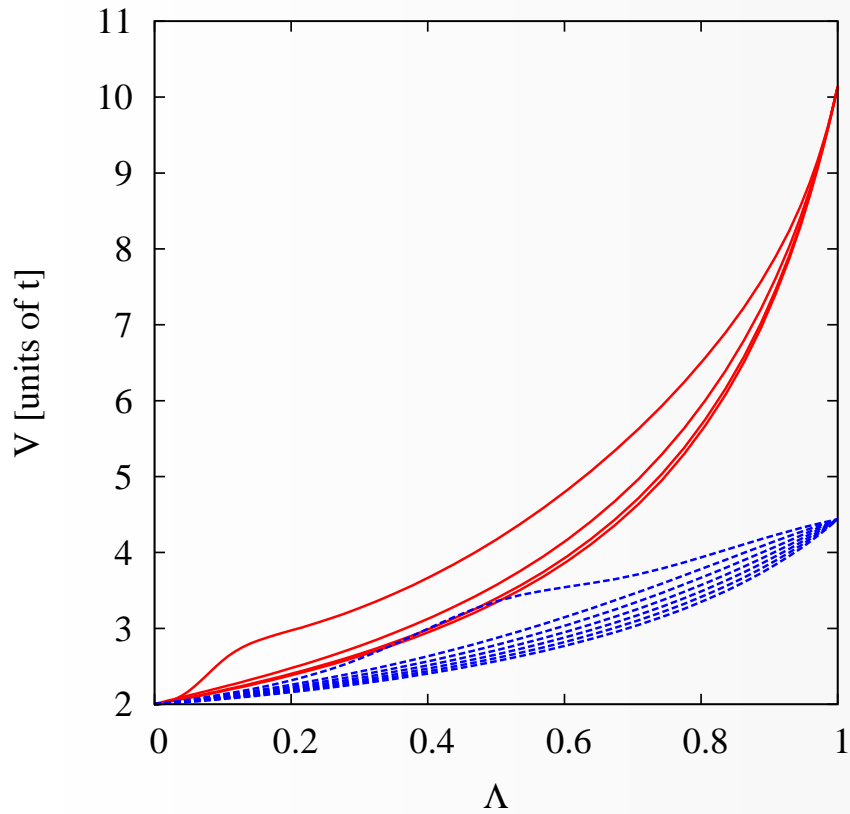
$\Sigma$  for  $T > T_t$ :



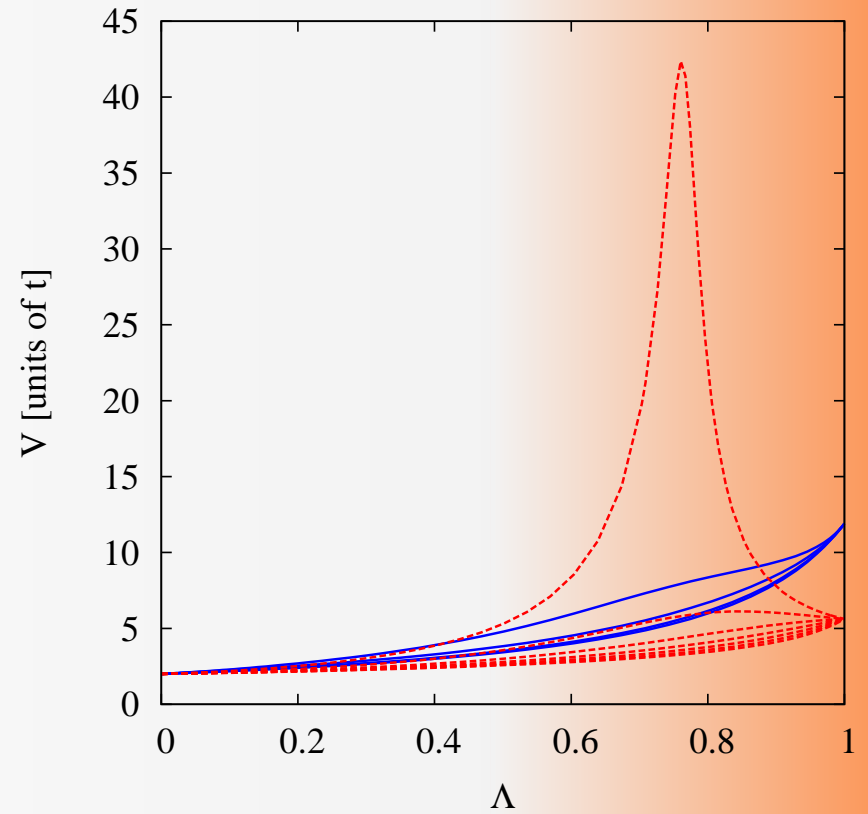
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# Numerical results: $V$

$V$  for  $T < T_t$ :

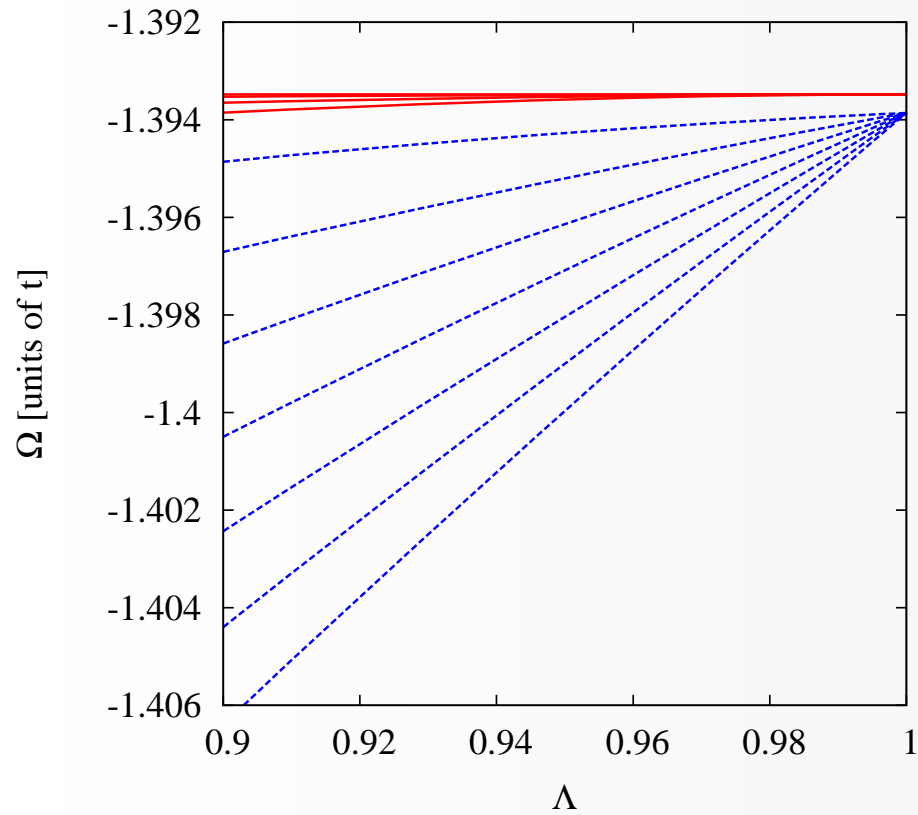


$V$  for  $T > T_t$ :

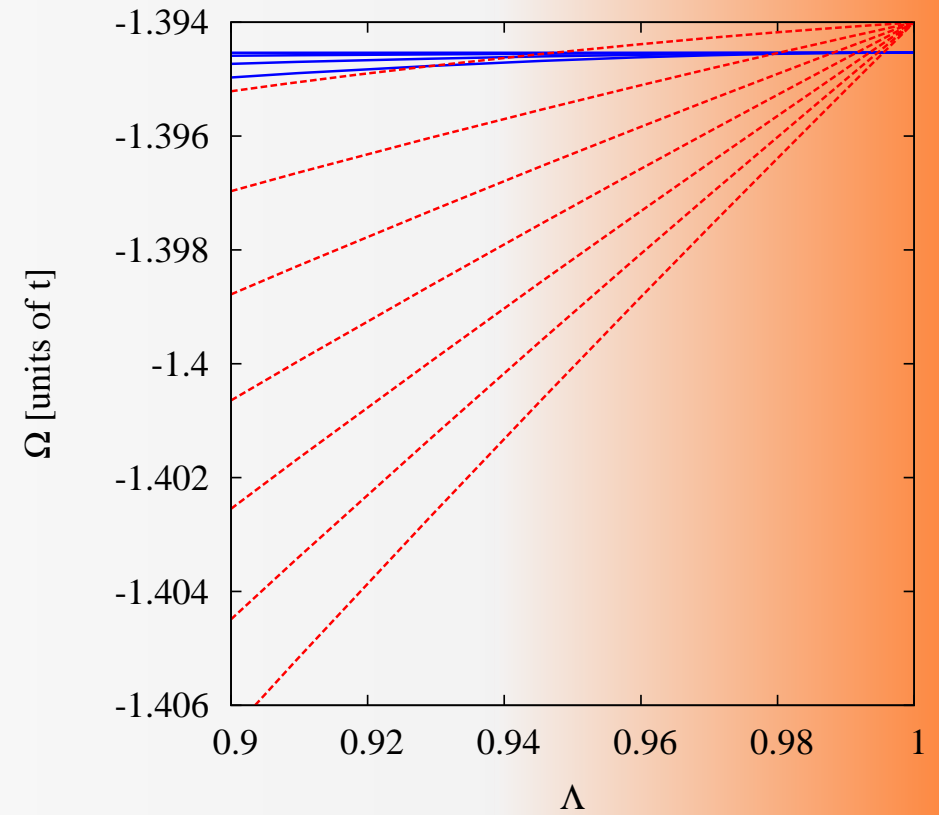


# Numerical results: $\Omega$

$\Omega$  for  $T < T_t$ :



$\Omega$  for  $T > T_t$ :



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# Conclusion & Outlook

1. The  $f^2$ RG can scan a system's order parameter space for minima of the thermodynamic potential.
2. The  $f^2$ RG can do first-order phase transitions.
3. Katanin's scheme reproduces mean-field exactly.

We need to treat full models!

Thanks to the  $f^2$ RG people (formerly) in Stuttgart:

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